

#5



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,539	01/11/2002	Ambrose Cheung	055542-00020

DC-0199

CONFIRMATION NO. 7285

FORMALITIES LETTER



OC000000007523277

Kramer Levin Naftalis & Frankel LLP
919 THIRD AVENUE
NEW YORK, NY 10022

Docket System ✓
Status Report ✓
Docket Book ✓

Date Mailed: 02/25/2002

Response due: 4/25/02

MAX: 8/25/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/28/2002 RUSMANI 00000039 501619 10043439

FILED UNDER 37 CFR 1.53(b)

01-FC-205- 65.00 CH-

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Adjustment date: 09/12/2002 RUSMANI
08/28/2002 RUSMANI 00000039 501619 10043439
01-FC-205- 65.00 CH-

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

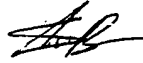
09/12/2002 RUSMANI 00000039 501619 10043439

65.00 CH

01-FC-205

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

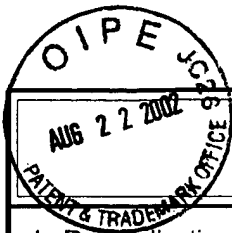
A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



us 08-26-02

MP 1645

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
DC-0199

In Re Application Of: Cheung et al.

Serial No.
10/043,539

Filing Date
January 11, 2002

Examiner
Not yet assigned

Group Art Unit
1645

Title: Compositions and Methods for Affecting Virulence Determinants in Bacteria

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response to Notice to File Missing Parts of Application Filing Date Granted (Small Entity) and fee (\$785.00)

Copy of Notice to File Missing Parts of Application Filing Date Granted

Declaration and Power of Attorney for Patent Application for Ambrose L. Cheung and Adhar Manna

Declaration and Power of Attorney for Patent Application for Gongyi Zhang

Paper Copy of Amended Sequence Listing, Computer Readable Form of Sequence, Statement to Support Filing

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-1619** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$785.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Jane Massey Licata

Signature

Dated: August 22, 2002

Jane Massey Licata
Reg. No. 32,257
Licata & Tyrrell P.C.
66 E. Main Street
Marlton, NJ 08053
Tel: 856-810-1515
Fax: 856-810-1454

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

DC-0199



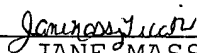
CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No. **EV157157895US**

Date of Deposit **August 22, 2002**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the "Box Sequence, P.O. Box 2327", U.S. Patent & Trademark Office, Arlington, VA 22202.

- 1) General Transmittal Letter (Patent Pending) (2 copies);
- 2) Response to Notice to File Missing Parts of Application Filing Date Granted (Small Entity) (2 copies);
- 3) Copy of Notice to File Missing Parts of Application Filing Date Granted;
- 4) Declaration and Power of Attorney form Patent Application for Ambrose L. Cheung and Adhar Manna;
- 5) Declaration and Power of Attorney for Patent Application for Gongyi Zhang;
- 6) Return Post Card;
- 7) Authorization to charge deposit account in the amount of \$785.00;
- 8) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825;
- 9) Sequence listing; and
- 10) Diskette containing computer readable copy of Sequence Listing.



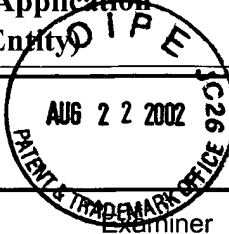
JANE MASSEY LICATA

#4

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
DC-0199

In Re Application Of: Cheung et al.



Serial No.
10/043,539

Filing Date
January 11, 2002

Examiner
Not yet assigned

Group Art Unit
1645

Invention: Compositions and Methods for Affecting Virulence Determinants in Bacteria

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
February 25, 2002
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Paper copy of amended Sequence Listing
Computer Readable Form of amended Sequence Listing
Statement to Support Filing and Submission in Accordance with 37 CFR 1.821 through 1.825

08/28/2002 AOSHAM1 00000039 501619 10043439

02-FC:218 720.00-CH

Adjustment date: 09/12/2002

08/28/2002 AOSHAM1 00000039 501619 10043439

02-FC:218 720.00-CH

09/12/2002 HNSHNL 00000007 501619 10043539
02-FC:218 720.00-CH

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
DC-0199

In Re Application Of: **Cheung et al.**

Serial No.
10/043,539

Filing Date
January 11, 2002

Examiner
Not yet assigned

Group Art Unit
1645

Invention: **Compositions and Methods for Affecting Virulence Determinants in Bacteria**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: April 26, 2002 until: August 25, 2002
Date Date

Total time extension fees **\$720.00**

Total fees due **\$785.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
DC-0199

In Re Application Of: **Cheung et al.**

Serial No.
10/043,539

Filing Date
January 11, 2002

Examiner
Not yet assigned

Group Art Unit
1645

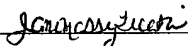
Invention: **Compositions and Methods for Affecting Virulence Determinants in Bacteria**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$785.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-1619**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-1619**
A duplicate copy of this sheet is enclosed.



Signature

Dated: **August 22, 2002**

**Jane Massey Licata
Reg. No. 32,257
Licata & Tyrrell P.C.
66 E. Main Street
Marlton, NJ 08053
Tel: 856-810-1515
Fax: 856-810-1454**

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: